Esteemed Colleagues and Students,

At the University of Ljubljana we recognise the transfer of knowledge into the economy as our strategic mission. The innovations and motivation of our staff and students are key in this process, which is why we try to encourage you and offer you the necessary support and knowledge through our Knowledge transfer office (KTO).

Within the context of research, you create various products that can be of practical value on the market (invention, appearance of a product, sign). The KTO helps you recognise and protect your creations with intellectual property rights (patent, industrial design, trademark). These rights protect the owner from unauthorised commercial exploitation of their work by third parties. In addition, with these rights the University of Ljubljana can ensure its competitive advantage on the market, which enables it to further develop and market industrial property.

In regards to marketing the KTO plays an important part in finding partners and negotiating sales and licensing contracts; it can also help you set up new companies (e.g. spin-outs) in collaboration with the Ljubljana University Incubator. New companies are a direct result of the University's role in generating new jobs and creating economic prosperity.

This leaflet offers basic information on intellectual property management at the University of Ljubljana and focuses on procedures of taking over, protecting and marketing company inventions.

We wish you great innovative success!

Dr. Marijan Leban, Head of the Department for Protection of Intellectual Property
Urša Jerše, Head of KTO



Knowledge Transfer Office services:

- Identification of potential innovations
- Advising on patentability
- Conducting procedures for taking over service inventions
- Advising on strategy of legal protection of intellectual property
- Managing procedures for acquiring a patent or other industrial property right
- Marketing and identification of industrial partners
- Managing negotiations of the conclusion of licence or assignment contracts

- Promoting creation of spin-out companies
- Providing legal assistance in drafting R&D contracts
- Conducting training in the fields of legal protection and commercialization of intellectual property
- Raising awareness about the significance of intellectual property within the University of Ljubljana.

from IDEA to PRODUCT

A brief overview of industrial property rights management at the University of Ljubljana



Knowledge Transfer Office

We add value to your knowledge

The investment is co-financed by the Republic of Slovenia and the European Union under the European Regional Development Fund.

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More information

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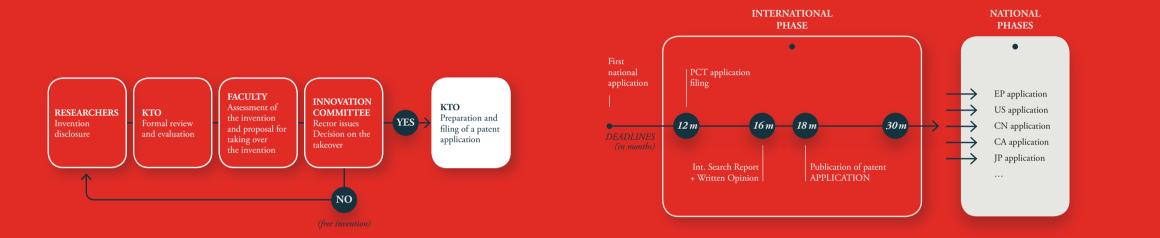
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THE PROCESS OF PROTECTING THE INVENTION





RESEARCHER LUI Business plan and seed New company (spin-out company) KTO FACULTY KTO Interested existing Finding buyers/ Marketing Construction of a company RESEARCHERS UL MANAGEMENT KTO BOARD Licensing/assignment Negotiations 40% share in income Consent to the licensing/ from marketing agreement assignment agreement

The process of taking over a service invention at the University of Ljubljana.

> In accordance with the Regulation on ma- In research projects with several partners, Inaging industrial property rights at the University of Liubliana, every invention that was created in cooperation with some- ch subject that is to be concluded by collaone who is employed at the University of Ljubljana or is in a different contractional relationship with the University (visiting te-that this contract be examined by the KTO. acher, collaborators...) or a student, if it is a
> In case of a joint invention, the partners result of his work during a study course at conclude a joint invention contract before the University of Ljubljana or if University of Ljubljana funds were used, must be re- they precisely regulate reciprocal rights and ported to the KTO using the Invention di- obligations concerning the joint invention. sclosure form. In this case the inventor may not protect or market the invention or potential innovation by himself because it is the property of the University of Ljubljana. It is also important that the results are not disclosed to the public or unauthorised persons before they are protected.

issues concerning industrial property must be settled in a contract regarding the researborating partners (co-contractors) before the beginning of the project. It is recommended the protection is implemented, in which The most common path of protecting inventions at the University of Ljubljana.

> patents are managed by the KTO. It led to the national patent office (Slovenian identifies an appropriate patent attorney who prepares the patent application in collaboration with the inventor and files it to the selected patent office. The patent is only valid in countries in which the patent has been granted. The decision on patent protection in individual countries is made based on the invention's market potential and the available funds, as the protection entails application. PCT application does not lead significant costs. Normally, the patent is to a patent; it is only an international appligranted after 3 to 5 years, but the patent cation and an enquiry that makes it easier right is granted as of the day of filing of the to assess whether a patent will be granted patent application.

Datent applications and maintenance of Usually the patent application is first fi-Intellectual Property Office). Within 12 months a decision on the extension of patent protection must be adopted. In most cases an international PCT application (PCT -Patent Cooperation Treaty) is submitted, and after that a decision on protection in individual countries must be taken within 18 months after the submission of the PCT in individual countries. In the event we are ready and wish to gain an opinion regarding the patentability in the first year, we first submit a PCT, an EPO (EPO - European Patent Office) application or an application to another foreign office where they conduct a substantive examination of the application. The marketing process at the University of Ljubljana.

> ommercialisation of the invention or know-how is a complex process, in which good collaboration between the inventor and the KTO is of key importance. In the marketing process the KTO primarily helps with the following activities:

- Preparing promotional material and a presentation for investors
- Identifying potential partners/inve-
- Protecting confidential data (NDA - Non-disclosure Agreement, MTA Material Transfer Agreement)
- Promoting the invention to companies and investors
- · Coordinating meetings and negotiations with potential partners
- Preparing licensing/assignment agreements
- Monitoring and oversight over the implementation of licensing contra-
- Helping with setting up spin-outs

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